AA

PATENT
Attorney Docket No. INK-022CN2
(2108/24)



THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED** 

MAR 0 4 2004

APPLICANT:

**SERIAL NO.:** 

Albert et al.

09/896,546

**GROUP NO.:** 

Not Yet Assigned OF PETITIONS

FILING DATE:

June 29, 2001

**EXAMINER:** 

Not Yet Assigned

TITLE:

Retroreflective Electrophoretic Displays and Materials for Making the Same

## CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Office of Petitions/Special Programs Law Office, Assistant Commissioner for Patents and Trademarks, Washington, DC 20231 on the 4<sup>th</sup> day of September, 2001.

Tabitha Crosier

Office of Petitions/Special Programs Law Office Assistant Commissioner for Patents Washington, DC 20231

Sir:

## Submitted herewith are:

- 1. Transmittal Form (1 page);
- 2. Petition to Review a Notice of Incomplete Nonprovisional Application Under 37 C.F.R. 1.53(e)(2) (3 pgs.);
- 3. Tab A: a true copy of Express Mail Mailing Label No. EL750476349US showing a "date-in" of "06-29-01" (1 pg.);
- 4. Tab B: a true copy of the Utility Patent Application Transmittal bearing the Express Mail Mailing Label No. EL750476349US (1 pg.);
- 5. Tab C: a true copy of the Declaration and Power of Attorney for Utility or Design Patent Application bearing the Express Mail Mailing Label No. EL750476349US (7 pgs.);
- 6. Tab D: a copy of U.S. Patent No. 6,262,706 issued from '988 application (32 pgs.); and
- 7. Tab E: a copy of the Notice of Incomplete Nonprovisional Application (1 pg.)
- 8. Return receipt postcard.



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023
www.uspto.gov

APPLICATION NUMBER

HIGH STREET TOWER

125 HIGH STREET BOSTON, MA 02110

021323

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/896,546

TESTA, HURWITZ & THIBEAULT, LLP

06/29/2001

Jonathan D. Albert

INK-022CN2

**CONFIRMATION NO. 3669** 

FORMALITIES LETTER

\*OC000000006369350\*

Date Mailed: 08/01/2001

RECEIVED

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

MAR 0 4 2004

FILED UNDER 37 CFR 1.53(b)

OFFICE OF PETITIONS

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

• The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).* 

A copy of this notice <u>MUST</u> be returned with the reply.

 $\mathcal{O}$ 

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

	OIPE		Application	Serial Number	09/ 546	
SEP 0 6 2001 E TRANSMITTAL FORM			Receipt Date		Jun 29, 2001 RECEIVED	
			First Named Inventor		Δlhert	
			Group Art Unit		Not Yet Assigned MAR 0 4 2004	
			Examiner Name		Not Yet Assigned OFFICE OF PENTION	
			Attorney Docket No.		INK-022CN2	
			BATCH NO. (after allowance)		Not applicable	
			Patent No.		Not applicable	
			Issue Date		Not applicable	
ENCLOSURES (check all that apply)  Fee Transmittal Form Copy of Notice to File Missing Notice of Appeal to Board						
	_			cation (PTO-1553)	of Patent Appeals and Interferences	
	☐ Check Attached ☐ Copy of Fee Transmittal Form Amendment/Response		Formal Drawin	ng(s)	Appeal Brief (in triplicate)	
			Request For Continued Sta		Status Inquiry	
	☐ Preliminary ☐ After Final		Transmittal	·	Return Receipt Postcard	
	Affidavits/declaration(s)		Power of Attor			
•	Letter to Official Draftsperson		(Revocation of	f Prior Powers)	Certificate of First Class Mailing under 37 C.F.R. 1.8	
	[Total Sheets] Petition for Extension of Time			laimer aration and Power r Utility or Design	Additional Enclosure(s)  (please identify below)	
		·	Patent Applica		Petition to Review a Notice of Incomplete Nonprovisional Application Under 37 C.F.R. 1.53(e)(2) (3 pgs.);	
	Information Disclosure Statement		Small Entity Statement		☐ Tab A: a true copy of Express Mail Mailing Label No. EL750476349US showing a "date- in" of "06-29-01"	
	Form PTO-1449 Copies of IDS Citations		CD(s) for large program	e table or computer	☐ Tab B: a true copy of the Utility Patent     Application Transmittal bearing the Express     Mail Mailing Label No. EL750476349US	
	Certified Copy of Priority		Amendment After Allowance		☐ Tab C: a true copy of the Declaration and Power of Attorney for Utility or Design Patent Application bearing the Express Mail Mailing Label No. EL750476349US	
	Document(s)	Request for Certificate of		ertificate of	☐ Tab D: a copy of U.S. Patent No. 6,262,706 issued from '988 application	
	Sequence Listing submission Paper Copy/CD		Correction Certificate of Correction (in duplicate)		☐ Tab E: a copy of the Notice of Incomplete  Nonprovisional Application.	
	☐ Computer Readable Copy ☐ Statement verifying identity of above					
CORRESPONDENCE ADDRESS				SIGNATURE BL	ОСК	
Direct	Testa, Hu High Stre 125 High Boston, N Tel. No.:	et Tower	ibeault, LLP	Date: September 4, 2001 Reg. No.: 40,060) Tel. No.: (617) 248-7604 Fax No.: (617) 248-7100  Respectfully summitted,  John D. Lance  Attorney for Applicants Test, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110		